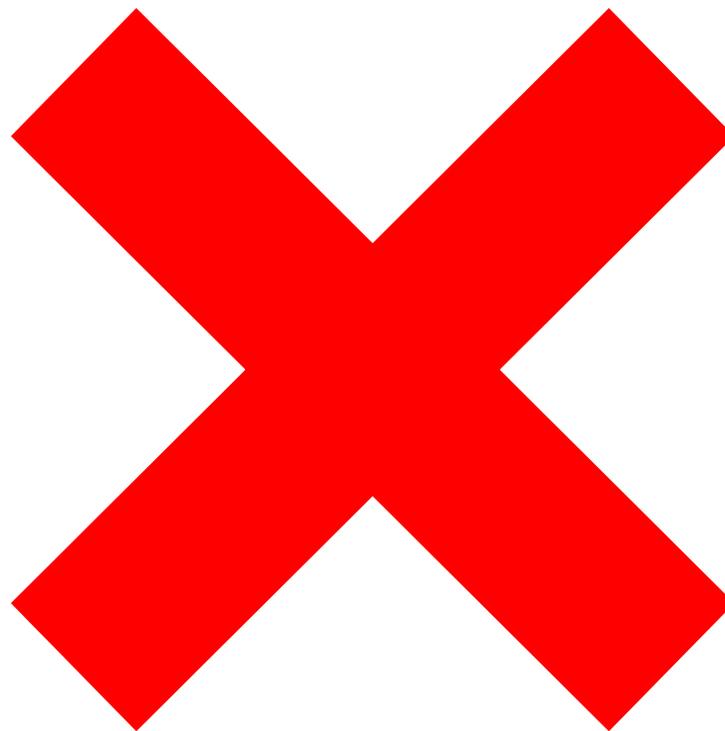




Uitsluitingenlijst / Exclusion List  
2021 Q2



## Mhpf uitsluitingenlijst staatsobligaties per 1 april 2021: persvrijheid

Land
Azerbeijan
Burundi
China
Cuba
Djibouti
Egypte
Equatoriaal Guinea
Eritrea
Irak
Iran
Jemen
Laos
Libië
Noord-Korea
Saoedi-Arabië
Soedan
Somalië
Syrië
Tadjikistan
Turkmenistan
Vietnam

Bron: <https://rsf.org/en/ranking>

## Mhpf uitsluitingenlijst beursgenoteerde aandelen per 1 april 2021: controversiële wapens

Entity name	Country	Type of weapon
Aerojet Rocketdyne Holdings Inc	United States	Depleted Uranium
Aerojet Rocketdyne Holdings Inc	United States	Nuclear Weapons
Airbus SE	Netherlands	Nuclear Weapons
Anhui GreatWall Military Industry Co., Ltd.	China	Cluster Weapons
Aryt Industries Ltd.	Israel	Cluster Weapons
Aryt Industries Ltd.	Israel	White Phosphorus
Aselsan Elektronik Sanayi ve Ticaret AS	Turkey	Cluster Weapons
Avio S.p.A.	Italy	Nuclear Weapons
Babcock International Group PLC	United Kingdom	Nuclear Weapons
BAE Systems PLC	United Kingdom	Nuclear Weapons
BAE Systems PLC	United Kingdom	White Phosphorus
Bharat Dynamics Limited	India	Nuclear Weapons
BWX Technologies, Inc.	United States	Nuclear Weapons
CACI International Inc	United States	Nuclear Weapons
Carmat SA	France	Nuclear Weapons
China Aerospace International Holdings Ltd.	Hong Kong	Cluster Weapons
China Aerospace International Holdings Ltd.	Hong Kong	Nuclear Weapons
China Isotope & Radiation Corp.	China	Nuclear Weapons
China National Nuclear Power Co Ltd	China	Nuclear Weapons
China Shipbuilding Industry Co., Ltd.	China	Nuclear Weapons
China Shipbuilding Industry Group Power Co., Ltd.	China	Nuclear Weapons
China Spacesat Co Ltd	China	Cluster Weapons
China Spacesat Co Ltd	China	Nuclear Weapons
CNIM Group SA	France	Nuclear Weapons
CNNC International Ltd.	Hong Kong	Nuclear Weapons
Dassault Aviation S.A.	France	Nuclear Weapons
Dassault Aviation S.A.	France	White Phosphorus
Ducommun Inc	United States	Nuclear Weapons
Fluor Corp.	United States	Nuclear Weapons
General Dynamics Corp	United States	Depleted Uranium
General Dynamics Corp	United States	Nuclear Weapons
General Dynamics Corp	United States	White Phosphorus
HANWHA AEROSPACE Co., Ltd.	South Korea	White Phosphorus
Hanwha Corp	South Korea	White Phosphorus
Hanwha Life Insurance Co., Ltd.	South Korea	White Phosphorus
HANWHA SOLUTIONS CORP.	South Korea	White Phosphorus
Honeywell Automation India Ltd.	India	Nuclear Weapons
Honeywell International Inc	United States	Nuclear Weapons
Huneeed Technologies	South Korea	Nuclear Weapons
Huntington Ingalls Industries, Inc.	United States	Nuclear Weapons
Indian Hotels Co. Ltd.	India	Nuclear Weapons
InnoWireless Co., Ltd.	South Korea	Cluster Weapons

Entity name	Country	Type of weapon
Jacobs Engineering Group Inc	United States	Nuclear Weapons
L3Harris Technologies, Inc.	United States	Nuclear Weapons
Larsen & Toubro Infotech Limited	India	Nuclear Weapons
Larsen & Toubro Limited	India	Nuclear Weapons
Leonardo S.p.a.	Italy	Nuclear Weapons
LIG Nex1 Co Ltd	South Korea	Cluster Weapons
Lockheed Martin Corp	United States	Nuclear Weapons
L&T Finance Holdings Ltd	India	Nuclear Weapons
L&T Technology Services Ltd.	India	Nuclear Weapons
ManTech International Corp.	United States	Nuclear Weapons
Mindtree Ltd.	India	Nuclear Weapons
Moog Inc	United States	Nuclear Weapons
Norinco International Cooperation Ltd.	China	Cluster Weapons
Norinco International Cooperation Ltd.	China	White Phosphorus
Northrop Grumman Corp.	United States	Depleted Uranium
Northrop Grumman Corp.	United States	Nuclear Weapons
Oceanengineering International, Inc.	United States	Nuclear Weapons
Poongsan Corporation	South Korea	Cluster Weapons
Poongsan Holdings Corporation	South Korea	Cluster Weapons
Raytheon Technologies Corp.	United States	Nuclear Weapons
Rolls-Royce Holdings PLC	United Kingdom	Nuclear Weapons
Safran SA	France	Nuclear Weapons
Serco Group PLC	United Kingdom	Nuclear Weapons
S&T Dynamics Co. Ltd.	South Korea	Anti-Personnel Mines
S&T Holdings Co., Ltd.	South Korea	Anti-Personnel Mines
Tata Chemicals Ltd.	India	Nuclear Weapons
Tata Communications Ltd.	India	Nuclear Weapons
Tata Consultancy Services Ltd.	India	Nuclear Weapons
Tata Consumer Products Ltd.	India	Nuclear Weapons
Tata Elxsi Ltd.	India	Nuclear Weapons
Tata Investment Corp. Ltd.	India	Nuclear Weapons
Tata Motors Ltd.	India	Nuclear Weapons
Tata Steel Ltd.	India	Nuclear Weapons
Tata Teleservices (Maharashtra) Ltd.	India	Nuclear Weapons
Textron Inc.	United States	Nuclear Weapons
Thales SA	France	Nuclear Weapons
Thales SA	France	White Phosphorus
The Boeing Company	United States	Nuclear Weapons
The Tata Power Company Limited	India	Nuclear Weapons
Titan Co. Ltd.	India	Nuclear Weapons
Trent Ltd	India	Nuclear Weapons
Tronic's Microsystems SA	France	Nuclear Weapons
Tronic's Microsystems SA	France	White Phosphorus
Ultra Electronics Holdings PLC	United Kingdom	Nuclear Weapons
Volta Ltd.	India	Nuclear Weapons
Walchandnagar Industries Ltd.	India	Nuclear Weapons

## Mhpf uitsluitingenlijst beursgenoteerde aandelen per 1 april 2021: schending UN Global Compact principes

EntityName	Country	Global Compact Non-Compliant Principle Description	Non-Compliant Since	Reason for Non-Compliant Status
63 Moons Technologies Ltd	India	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q4 2014	63 Moons Technologies Ltd's subsidiary, National Spot Exchange Limited, was suspended in 2013 for alleged fraud amounting to USD 900 million.
ALZA Corp.	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q1 2016	Johnson & Johnson has allegedly been involved in repeated incidents related to the quality and safety risks of a number of its medical devices, medicines and other products.
Abdul Qader Khan Research Laboratories (AQKRL)	Pakistan	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2019	Abdul Qader Khan Research Laboratories supports the nuclear weapons programme of Pakistan, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
Actelion Ltd	Switzerland	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q2 2021	Actelion's parent company, Johnson & Johnson, has allegedly been involved in repeated incidents related to the quality and safety risks of a number of its medical devices, medicines and other products.
Adani Enterprises Limited	India	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q3 2013	Adani Enterprises has been found responsible for severe and recurrent environmental damage and regulatory violations in India and is involved in controversial projects in Australia and India.
Adani Ports & Special Economic Zone Ltd	India	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q3 2013	Adani Ports and Special Economic Zone has been found responsible for severe and recurrent environmental damage and regulatory violations in India, and it continues to be involved in environmentally and socially risky projects in Australia and India.
Aerospace Long-March International Trade Co., Ltd.	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	ALIT is involved in the sale of cluster weapons, which are associated with violations of the human rights to health and life.
Aeroteh SA	Romania	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	Aeroteh is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Air Weapons Complex	Pakistan	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Air Weapons Complex is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Anhui GreatWall Military Industry Co., Ltd.	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q2 2019	Anhui is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Auspex Pharmaceuticals, Inc.	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
Avibras Industria Aeroespacial S/A.	Brazil	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	Avibras is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Bharat Dynamics Limited	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2019	Bharat Dynamics Limited supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
CNPC (HK) Overseas Capital Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	PetroChina Co Ltd. (PetroChina) as the listed entity of China National Petroleum Corporation (CNPC), which operates in a number of high risk (conflict-affected) countries, is implicated in human rights violations.
CNPC Capital Co., Ltd.	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	PetroChina Co Ltd. (PetroChina) as the listed entity of China National Petroleum Corporation (CNPC), which operates in a number of high risk (conflict-affected) countries, is implicated in human rights violations.
CNPC General Capital Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	PetroChina Co Ltd. (PetroChina) as the listed entity of China National Petroleum Corporation (CNPC), which operates in a number of high risk (conflict-affected) countries, is implicated in human rights violations.
CNPC Global Capital Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q2 2021	CNPC Global Capital's parent company - China National Petroleum Corporation (CNPC), which operates in a number of high risk (conflict-affected) countries, is complicit in human rights violations.
Cal Phoenix Re Ltd. Series 2018-1	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q1 2019	PG&E has been associated with public safety incidents in the US that resulted in severe impacts on local residents, including fatalities.
China Aerospace Science & Technology Corp.	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	CASC is involved in the production and sales of cluster weapons, which are associated with violations of the human rights to health and life.
China Metallurgical Group Corp.	China	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2020	Metallurgical Corporation of China, a subsidiary of China Metallurgical Group, is involved in waste disposal practices likely to cause severe health and environmental impacts.
China Minmetals Corp. Ltd.	China	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2020	Metallurgical Corporation of China, an indirect subsidiary of China Minmetals Group, is involved in waste disposal practices likely to cause severe health and environmental impacts.
China Minmetals Group Co. Ltd.	China	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2020	Metallurgical Corporation of China, an indirect subsidiary of China Minmetals Group, is involved in waste disposal practices likely to cause severe health and environmental impacts.
China National Petroleum Corp.	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China National Petroleum Corporation (CNPC), which operates in a number of high risk (conflict-affected) countries, is complicit in human rights violations.

EntityName	Country	Global Compact Non-Compliant Principle Description	Non-Compliant Since	Reason for Non-Compliant Status
China North Industries Corp.	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2014	China North Industries Corp. (Norinco) is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
China Northern Rare Earth (Group) High-Tech Co Ltd	China	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2012	China Northern Rare Earth has received widespread criticism of its radioactive tailings management, which had been deemed inadequate by the Chinese government and linked to severe environmental and health impacts.
China Petrochemical Corp.	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
China Petroleum & Chemical Corp.	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
China Spacesat Co Ltd	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2020	China Spacesat's parent company, China Aerospace Science & Technology Corporation (CASC), is involved in the production and sales of cluster weapons, which are associated with violations of the human rights to health and life.
Ci:z Holdings Co.,Ltd.	Japan	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q1 2016	Johnson & Johnson has allegedly been involved in repeated incidents related to the quality and safety risks of a number of its medical devices, medicines and other products.
Cia Nationala ROMARM SA	Romania	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	ROMARM is involved with cluster weapons, which are associated with violations of the human rights to health and life.
Continental Gold Inc.	Colombia	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2008	Zijin Mining Group's projects have faced community opposition and the company has been linked to the torture and sexual assault of those who oppose these projects.
Cox & Kings (India) Ltd.	India	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q2 2021	Cox & Kings (India) is facing legal actions over allegations of fraud, financial misreporting and unpaid wages.
Danica Pension Livsforsikrings A/S	Denmark	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2019	Danske Bank's governance and control mechanisms failed to prevent probable money laundering in Estonia between 2007 and 2015.
Danica Pension Livsforsikringsaktieselskab	Denmark	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2019	Danske Bank's governance and control mechanisms failed to prevent probable money laundering in Estonia between 2007 and 2015.
Danske Bank A/S	Denmark	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2019	Danske Bank's governance and control mechanisms failed to prevent probable money laundering in Estonia between 2007 and 2015.
Danske Bank A/S (UK)	United Kingdom	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2019	Danske Bank's governance and control mechanisms failed to prevent probable money laundering in Estonia between 2007 and 2015.
Danske Bank PLC	Finland	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2019	Danske Bank's governance and control mechanisms failed to prevent probable money laundering in Estonia between 2007 and 2015.
Danske Mortgage Bank Plc	Finland	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2019	Danske Bank's governance and control mechanisms failed to prevent probable money laundering in Estonia between 2007 and 2015.
Defense Research & Development Organization	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	Defense Research & Development Organization is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.;Defense Research & Development Organization supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
ETP Legacy LP	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2017	Energy Transfer LP has been linked to violations of indigenous peoples' rights in relation to its Dakota Access Pipeline project.
EI Sewedy Electric Co	Egypt	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2020	EI Sewedy Electric Co is involved in the construction of the Rufiji Hydropower Project in Tanzania, which has been associated with severe environmental impacts, including potentially irreversible damage to a UNESCO World Heritage Site in Danger.
Energy Transfer LP	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2017	Energy Transfer LP has been linked to violations of indigenous peoples' rights in relation to its Dakota Access Pipeline project.
Energy Transfer Operating LP	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2017	Energy Transfer LP has been linked to violations of indigenous peoples' rights in relation to its Dakota Access Pipeline project.

EntityName	Country	Global Compact Non-Compliant Principle Description	Non-Compliant Since	Reason for Non-Compliant Status
Eskom Holdings SOC Limited	South Africa	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q3 2019	Eskom's operations can be linked to air pollution which has resulted in reported human fatalities.
First Union Capital II	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
First Union Corp.	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
GMéxico Transportes SAB de CV	Mexico	Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining.	Q4 2012	GMéxico Transportes' parent company Grupo Mexico has faced significant labour challenges and lengthy strikes triggered by alleged health and safety issues and unpaid compensation.
Grupo México, S.A.B. de C.V.	Mexico	Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining.	Q4 2012	Grupo Mexico has faced significant labour challenges and lengthy strikes triggered by alleged health and safety issues and unpaid compensation.
Guyana Goldfields Inc	Canada	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q2 2021	The parent company of Guyana Goldfields, Zijin Mining Group has faced community opposition and the company has been linked to the torture and sexual assault of those who oppose these projects.
Hangzhou Hikvision Digital Technology Co., Ltd.	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2020	Hangzhou Hikvision Digital Technology Co., Ltd. has been linked to human rights abuses through its sale of surveillance equipment to the Chinese authorities.
Independencia Internacional Ltd.	Cayman Islands	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q4 2020	Independencia Internacional is part of JBS SA, whose chairman and CEO and co-owner admitted to extensive bribery of numerous government officials, including bribes linked to former Brazilian president Michel Temer.
Indivior Finance SARL	Luxembourg	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2019	Indivior PLC and its subsidiary, Indivior Inc., have been indicted by the Department of Justice for conspiring to commit, and committing mail, wire and health care fraud in the US, related to the marketing of its drug for opioid dependence treatment.
Indivior PLC	United Kingdom	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2019	Indivior PLC and its subsidiary, Indivior Inc., have been indicted by the Department of Justice for conspiring to commit, and committing mail, wire and health care fraud in the US, related to the marketing of its drug for opioid dependence treatment.
Inner Mongolia Baotou Steel Union Co. Ltd.	China	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q1 2020	Inner Mongolia Baotou Steel Union is the owner of a tailing site which has been linked to severe environmental and health impacts.
Israel Aerospace Industries Ltd.	Israel	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	Israel Aerospace Industries Ltd. (IAI) is involved in production and sales of cluster munitions which are associated with violations of the human rights to health and life.
JBS Investments GmbH	Austria	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2017	JBS chairman and CEO and co-owner admitted to extensive bribery of numerous government officials, including bribes linked to former Brazilian president Michel Temer.
JBS Investments II GmbH	Austria	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2017	JBS chairman and CEO and co-owner admitted to extensive bribery of numerous government officials, including bribes linked to former Brazilian president Michel Temer.
JBS S.A.	Brazil	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2017	JBS chairman and CEO and co-owner admitted to extensive bribery of numerous government officials, including bribes linked to former Brazilian president Michel Temer.
JBS USA Finance, Inc.	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2017	JBS chairman and CEO and co-owner admitted to extensive bribery of numerous government officials, including bribes linked to former Brazilian president Michel Temer.
Johnson & Johnson	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q1 2016	Johnson & Johnson has allegedly been involved in repeated incidents related to the quality and safety risks of a number of its medical devices, medicines and other products.
Kangmei Pharmaceutical Co Ltd	China	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2020	Kangmei Pharmaceutical was penalised by Chinese authorities for a multi-billion dollar accounting fraud between 2016 and 2018.
Korea Electric Power Corporation	South Korea	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2019	Korea Electric Power and its wholly-owned subsidiary Korea Western Power have been involved in a dam collapse in Laos that resulted in over 70 people reported dead or missing and the displacement of over 7,000 people.

EntityName	Country	Global Compact Non-Compliant Principle Description	Non-Compliant Since	Reason for Non-Compliant Status
Korea Southern Power Co., Ltd.	South Korea	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2019	Korea Electric Power and its wholly-owned subsidiary Korea Western Power have been involved in a dam collapse in Laos that resulted in over 70 people reported dead or missing and the displacement of over 7,000 people.
Korea Western Power Co., Ltd.	South Korea	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2019	Korea Western Power has been involved in a dam collapse in Laos that resulted in over 70 people reported dead or missing and the displacement of over 7,000 people.
L&T Finance Holdings Ltd	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2019	L&T Finance Holdings Ltd's parent company, Larsen & Toubro Limited, supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
L&T Technology Services Ltd.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2019	L&T Technology Services Ltd.'s parent company, Larsen & Toubro Limited, supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
LIG Corp.	South Korea	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2020	LIG Corp owns over 46% of LIG Nex1, which is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
LIG Nex1 Co Ltd	South Korea	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q2 2019	LIG Nex1 is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Lake Shore Gold Corp.	Canada	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2019	Pan American Silver Corp. faces widespread indigenous opposition at its Escobal mine in Guatemala.
Larsen & Toubro Infotech Limited	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2019	Larsen & Toubro Infotech Limited's parent company, Larsen & Toubro Limited, supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
Larsen & Toubro Limited	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2019	Larsen & Toubro Limited supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
Lingui Developments Bhd.	Malaysia	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q3 2012	Samling Global Limited has been accused of illegal logging practices in Guyana, Malaysia, Myanmar, and Liberia, leading to substantial negative biodiversity impacts.
Lockheed Martin Corp	United States	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Lockheed Martin Corporation (Lockheed) provided weapons to Saudi Arabia and the United Arab Emirates (UAE) and a bomb produced by it, was linked to an attack in Yemen that resulted in a high number of civilian casualties.
Luckin Coffee, Inc.	China	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q4 2020	Luckin Coffee admitted to fabricating its financial results for 2019, which resulted in a delisting notification from Nasdaq stock exchange.
MCC Holding (Hong Kong) Corp. Ltd.	Hong Kong	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2020	Metallurgical Corporation of China, a subsidiary of China Metallurgical Group, is involved in waste disposal practices likely to cause severe health and environmental impacts.
MMC Finance DAC	Ireland	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2020	Norilsk Nickel is responsible for severe environmental damage in the Russian Arctic region after the collapse of a fuel tank, which unleashed thousands of tonnes of diesel fuel to the adjacent subsoil and water.
MMC Norilsk Nickel PJSC	Russia	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2020	Norilsk Nickel is responsible for severe environmental damage in the Russian Arctic region after the collapse of a fuel tank, which unleashed thousands of tonnes of diesel fuel to the adjacent subsoil and water.
MTN (Mauritius) Investments Ltd.	Mauritius	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2012	MTN Group is associated with human rights violations through its close operational relationships with authoritarian governments in Iran and Syria, as well as its operations in other high-risk conflict zones.
MTN Group Limited	South Africa	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2012	MTN Group is associated with human rights violations through its close operational relationships with authoritarian governments in Iran and Syria, as well as its operations in other high-risk conflict zones.
MTN Nigeria Communications Ltd.	Nigeria	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2012	MTN Nigeria Communications Ltd's parent, MTN Group is associated with human rights violations through its close operational relationships with authoritarian governments in Iran and Syria, as well as its operations in other high-risk conflict zones.
Makina Ve Kimya Endustrisi Kurumu	Turkey	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	MKEK is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Metallurgical Corporation of China Ltd.	China	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q3 2019	The company is involved in waste disposal practices likely to cause severe health and environmental impacts.
Mexico Generadora de Energia S de RI	Mexico	Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining.	Q4 2012	Grupo Mexico has faced significant labour challenges and lengthy strikes triggered by alleged health and safety issues and unpaid compensation.

EntityName	Country	Global Compact Non-Compliant Principle Description	Non-Compliant Since	Reason for Non-Compliant Status
Midwest Connector Capital Co. LLC	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2017	Energy Transfer LP has been linked to violations of indigenous peoples' rights in relation to its Dakota Access Pipeline project.
Mil-spec Industries Corp	United States	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2020	violations of the human rights to health and life.;Mil-spec Industries Corp. is involved in the production of anti-personnel mines which are associated with violations of the human rights to health and life.
Mindtree Ltd.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2019	Larsen & Toubro Limited, the parent company of MindTree Limited, supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
Minera Mexico SA de CV	Mexico	Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining.	Q4 2010	Minera Mexico SA de CV, through its parent company Southern Copper Corporation, is linked to significant labour challenges and lengthy strikes triggered by alleged health and safety issues and unpaid compensation.
Minmetals Bounteous Finance (BVI) Ltd.	British Virgin Islands	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2020	Metallurgical Corporation of China, an indirect subsidiary of China Minmetals Group, is involved in waste disposal practices likely to cause severe health and environmental impacts.
Mumias Sugar Company Limited	Kenya	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2015	Mumias Sugar Company's current and past management are under investigation for breaches of internal controls and large-scale misappropriation of corporate assets in Kenya.
Narendra & Co.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Narendra & Co is involved in the production of anti-personnel mines, which are associated with violations of the human rights to health and life.
National Development Complex	Pakistan	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	NDC is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
National Engineering & Scientific Commission	Pakistan	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2019	National Engineering & Scientific Commission is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.;National Engineering & Scientific Commission supports the nuclear weapons programme of Pakistan, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
Nevsun Resources Ltd.	Canada	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2008	Zijin Mining Group's projects have faced community opposition and the company has been linked to the torture and sexual assault of those who oppose these projects.
Nityanand Udyog Pvt Ltd.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2020	Nityanand Udyog is involved in the production of anti-personnel mines, which are associated with violations of the human rights to health and life.
North Queensland Export Terminal Pty Ltd.	Australia	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q3 2013	Adani Ports and Special Economic Zone has been found responsible for severe and recurrent environmental damage and regulatory violations in India, and it continues to be involved in environmentally and socially risky projects in Australia and India.
NuFlare Technology Inc	Japan	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q2 2016	Toshiba Corporation has faced strong criticism for accounting irregularities that have had a high impact on its reputation, finances and shareholders.
OCP S.A.	Morocco	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2015	OCP extracts and markets phosphate from the Non-Self-Governing Territory of Western Sahara.
OMX Timber Finance Investments I LLC	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
ONGC Videsh Ltd.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	ONGC Videsh's and its parent company's activities in Myanmar, South Sudan and Syria expose ONGC to complicity in human rights violations.
ONGC Videsh Vankomeft Pte Ltd.	Singapore	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	Oil and Natural Gas Corp.'s activities in Myanmar, South Sudan and Syria expose the company to complicity in human rights violations.
Oil and Natural Gas Corporation Limited	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	Oil and Natural Gas Corp.'s activities in Myanmar, South Sudan and Syria expose the company to complicity in human rights violations.
Orascom Investment Holding SAE	Egypt	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q2 2012	Orascom Investment Holding S.A.E. is considered complicit in human rights violations due to its involvement in a large-scale telecommunications project in North Korea.
PEMEX Project Funding Master Trust	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q1 2013	Pemex has repeatedly been involved in serious health and safety incidents that have resulted in a significant number of fatalities and injuries.

EntityName	Country	Global Compact Non-Compliant Principle Description	Non-Compliant Since	Reason for Non-Compliant Status
PG&E Corp	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q1 2019	PG&E has been associated with public safety incidents in the US that resulted in severe impacts on local residents, including fatalities.
PT Indonesia Asahan Aluminium (Persero)	Indonesia	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q3 2020	The Grasberg mine, which is over 41% owned by Inalum through its joint ventures, uses riverine tailings disposal, a practice that is banned in most countries for its severe and irreversible environmental impacts.
PT Vale Indonesia Tbk	Indonesia	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q2 2019	PT Vale Indonesia Tbk, a subsidiary of Vale in which the company owns 59.20%, has been linked to environmental and human rights violations after two of its dams collapsed.
Pacific Gas & Electric Co.	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q1 2019	PG&E has been associated with public safety incidents in the US that resulted in severe impacts on local residents, including fatalities.
Pakistan Ordnance Factories	Pakistan	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	POF is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Pan American Silver Corp.	Canada	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2019	Pan American Silver Corp. faces widespread indigenous opposition at its Escobal mine in Guatemala.
Patisserie Holdings PLC	United Kingdom	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q4 2018	Patisserie Holdings PLC has been involved in a serious accounting scandal.
PetroChina Co Ltd	China	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	PetroChina Co Ltd. (PetroChina) as the listed entity of China National Petroleum Corporation (CNPC), which operates in a number of high risk (conflict-affected) countries, is implicated in human rights violations.
Petroleos Mexicanos S.A. de C.V.	Mexico	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q1 2013	Pemex has repeatedly been involved in serious health and safety incidents that have resulted in a significant number of fatalities and injuries.
Petroleum Nasional Berhad	Malaysia	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	Petroleum Nasional Berhad (Petronas), which has significant stakes in consortia operating in several high risk (conflict-affected) countries, is complicit in human rights violations.
Petronas Capital Ltd.	Malaysia	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	Petroleum Nasional Berhad (Petronas), which has significant stakes in consortia operating in several high risk (conflict-affected) countries, is complicit in human rights violations.
Petronas Global Sukuk Ltd.	Malaysia	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	Petronas Global Sukuk Ltd., through its parent company Petroleum Nasional Berhad (Petronas), which has significant stakes in consortia operating in several high risk (conflict-affected) countries, is complicit in human rights violations.
Petróleos de Venezuela S.A.	Venezuela	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.;Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q4 2011	Petróleos de Venezuela SA, through its generation of substantial revenues for the government of Venezuela and the close links between its Board and the government, is complicit in human rights abuses committed by the Venezuelan authorities.;PdVSA's operational failures and lack of investment in asset modernization has led to severe environmental damage.
Poongsan Corporation	South Korea	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	Poongsan is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Poongsan Holdings Corporation	South Korea	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	Poongsan is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
RATCH-Australia Corp. Ltd.	Australia	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2019	Ratch Group has been implicated in a dam collapse in Laos which resulted in fatalities and displacement of local communities.
RH International (Singapore) Corp. Pte Ltd.	Singapore	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2019	Ratch Group has been implicated in a dam collapse in Laos which resulted in fatalities and displacement of local communities.
Ratch Group Public Co. Ltd.	Thailand	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2019	Ratch Group has been implicated in a dam collapse in Laos which resulted in fatalities and displacement of local communities.
Raytheon Co	United States	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Raytheon Technology's weapons have been linked to allegedly unlawful attacks on civilian objects in Yemen, resulting in a high number of casualties.
Raytheon Technologies Corp.	United States	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Raytheon Technology's weapons have been linked to allegedly unlawful attacks on civilian objects in Yemen, resulting in a high number of casualties.
Regency Energy Finance Corp.	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2017	Energy Transfer LP has been linked to violations of indigenous peoples' rights in relation to its Dakota Access Pipeline project.
Regency Energy Partners LP	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2017	Energy Transfer LP has been linked to violations of indigenous peoples' rights in relation to its Dakota Access Pipeline project.

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Rio Alto Mining Ltd.	Canada	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2019	Pan American Silver Corp. faces widespread indigenous opposition at its Escobal mine in Guatemala.
Rockwell Collins, Inc.	United States	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Raytheon Technology's weapons have been linked to allegedly unlawful attacks on civilian objects in Yemen, resulting in a high number of casualties.
Roketsan Roket Sanayii ve Ticaret AS	Turkey	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	Roketsan is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Rostec Corporation	Russia	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	Rostec is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.;Rostec, through its business links to the Myanmar military and arms deals with several high-risk countries, including Myanmar, Sudan and Syria, is at risk of being complicit in human rights violations.
S&T Dynamics Co. Ltd.	South Korea	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2015	S&T Dynamics is involved in the production of anti-personnel mines, which are associated with violations of the human rights to health and life.
S&T Holdings Co., Ltd.	South Korea	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2015	S&T Holdings, through its ownership in S&T Dynamics, is involved in the production of anti-personnel mines, which are associated with violations of the human rights to health and life.
SABIC Capital I BV	Netherlands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	SABIC, as a subsidiary of Aramco, through its generation of substantial revenues for the government of Saudi Arabia and the close links between Aramco's Board and the government, is complicit in human rights abuses committed by Saudi authorities.
SK E&S Co., Ltd.	South Korea	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2019	SK Holdings and its nearly 45% owned daughter company, SK Engineering & Construction, have been implicated in a dam collapse in Laos which resulted in fatalities and displacement of local communities.
SK Holdings Co Ltd	South Korea	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2019	SK Holdings and its nearly 45% owned daughter company, SK Engineering & Construction, have been implicated in a dam collapse in Laos which resulted in fatalities and displacement of local communities.
SK Holdings Co. Ltd., prior to merger with SK C&C Co. Ltd.	South Korea	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2019	SK Holdings and its nearly 45% owned daughter company, SK Engineering & Construction, have been implicated in a dam collapse in Laos which resulted in fatalities and displacement of local communities.
Sabic Capital II BV	Netherlands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	SABIC, as a subsidiary of Aramco, through its generation of substantial revenues for the government of Saudi Arabia and the close links between Aramco's Board and the government, is complicit in human rights abuses committed by Saudi authorities.
Samling Global Limited	Hong Kong	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q3 2012	Samling Global Limited has been accused of illegal logging practices in Guyana, Malaysia, Myanmar, and Liberia, leading to substantial negative biodiversity impacts.
Sampo Housing Loan Bank Plc	Finland	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2019	Danske Bank's governance and control mechanisms failed to prevent probable money laundering in Estonia between 2007 and 2015.
Saudi Arabian Oil Co.	Saudi Arabia	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Saudi Arabian Oil Co., through its generation of substantial revenues for the government of Saudi Arabia and the close links between its Board and the government, is complicit in human rights abuses committed by the Saudi authorities.
Saudi Basic Industries Corp	Saudi Arabia	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	SABIC, as a subsidiary of Aramco, through its generation of substantial revenues for the government of Saudi Arabia and the close links between Aramco's Board and the government, is complicit in human rights abuses committed by Saudi authorities.
Scancom Plc	Ghana	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2012	MTN Group is associated with human rights violations through its close operational relationships with authoritarian governments in Iran and Syria, as well as its operations in other high-risk conflict zones.
SemGroup Corp.	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2017	Energy Transfer LP has been linked to violations of indigenous peoples' rights in relation to its Dakota Access Pipeline project.
Sinopec Capital (2013) Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Sinopec Capital Ltd.	Hong Kong	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Sinopec Group Overseas Development (2012) Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Sinopec Group Overseas Development (2013) Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.

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Sinopec Group Overseas Development (2014) Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Sinopec Group Overseas Development (2015) Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Sinopec Group Overseas Development (2016) Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Sinopec Group Overseas Development (2017) Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Sinopec Group Overseas Development (2018) Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Sinopec Group Overseas Development Ltd.	British Virgin Islands	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	China Petroleum & Chemical Corporation, as the listed entity of China Petrochemical Corporation (Sinopec), which operates in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Sinopec Kantons Holdings Ltd	Hong Kong	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q4 2007	Sinopec Kantons Holdings Ltd., through its parent company China Petroleum & Chemical Corporation, which is linked to operations in several high-risk (conflict-affected) countries, is complicit in human rights violations.
Southern Copper Corporation	United States	Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining.	Q4 2010	Southern Copper Corporation (SCC), a subsidiary of Grupo Mexico, has faced significant labour challenges and lengthy strikes triggered by alleged health and safety issues and unpaid compensation.
Sparbanken Rekarne AB	Sweden	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2020	Swedbank has been fined USD 400 million by the Swedish FSA for serious deficiencies in its AML measures.
Sparbankemas Bank	Sweden	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2020	Swedbank has been fined USD 400 million by the Swedish FSA for serious deficiencies in its AML measures.
Splav State Research & Production Association Fsue	Russia	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	Splav is involved in the production and marketing of cluster weapons, which are associated with violations of the human rights to health and life.
Sunoco Logistics Partners Operations LP	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2017	Energy Transfer LP has been linked to violations of indigenous peoples' rights in relation to its Dakota Access Pipeline project.
Swedbank AB	Sweden	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2020	Swedbank has been fined USD 400 million by the Swedish FSA for serious deficiencies in its AML measures.
Swedbank Foreningssparbanken AB	Sweden	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2020	Swedbank has been fined USD 400 million by the Swedish FSA for serious deficiencies in its AML measures.
Swedbank Hypotek AB	Sweden	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2020	Swedbank has been fined USD 400 million by the Swedish FSA for serious deficiencies in its AML measures.
Synthetic Moulders Ltd.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2020	Synthetic Moulders is involved in the production of anti-personnel mines, which are associated with violations of the human rights to health and life.
TATA Advanced Systems Ltd.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Tata Advanced Systems supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
Tactical Missiles Corp. JSC	Russia	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	TMC is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Tahoe Resources Inc	United States	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q3 2019	Pan American Silver Corp. faces widespread indigenous opposition at its Escobal mine in Guatemala.
Tata Consultancy Services Ltd.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Tata Consultancy Services' parent company Tata Sons supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
Tata Investment Corp. Ltd.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Tata Investment Corp's holding company Tata Sons supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
Tata Sons Private Limited	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Tata Sons Private Limited supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.

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Teva Pharmaceutical Finance Co. BV	Netherlands	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
Teva Pharmaceutical Finance Co. LLC	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
Teva Pharmaceutical Finance II BV	Netherlands	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
Teva Pharmaceutical Finance IV LLC	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
Teva Pharmaceutical Finance IV, B.V.	Netherlands	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
Teva Pharmaceutical Finance Netherlands II BV	Netherlands	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
Teva Pharmaceutical Finance Netherlands III B.V.	Netherlands	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
Teva Pharmaceutical Finance Netherlands IV BV	Netherlands	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
Teva Pharmaceutical Industries Limited	Israel	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2021	Teva Pharmaceutical Industries and its subsidiaries face allegations of anti-competitive practices, including price-fixing of generic drugs in the US, and were involved in cases of corruption in several countries.
The Day & Zimmermann Group, Inc.	United States	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2014	Day & Zimmermann is involved in the production of cluster weapons, which are associated with violations of the human rights to health and life.
Tokyo Electric Power Company Holdings, Incorporated	Japan	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.;Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q3 2011	The meltdown of Tokyo Electric Power Company's reactors at the Fukushima Daiichi nuclear power plant has resulted in severe environmental pollution.;The meltdown of Tokyo Electric Power Company's reactors at the Fukushima Daiichi nuclear power plant has resulted in severe human rights impacts.
Toshiba Corp.	Japan	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q2 2016	Toshiba Corporation has faced strong criticism for accounting irregularities that have had a high impact on its reputation, finances and shareholders.
Toshiba Plant Systems & Services Corp.	Japan	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q2 2016	Toshiba Corporation has faced strong criticism for accounting irregularities that have had a high impact on its reputation, finances and shareholders.
Unitech Limited	India	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.;Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q3 2017	Unitech's managing directors were arrested over allegations of non-delivery of housing projects and an illegal fixed deposit scheme.;Unitech's managing directors were arrested over allegations of non-delivery of housing projects and an illegal fixed deposit scheme.
United Technologies Corp	United States	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q1 2021	Raytheon Technology's weapons have been linked to allegedly unlawful attacks on civilian objects in Yemen, resulting in a high number of casualties.
Vale Overseas Ltd.	Cayman Islands	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q2 2019	Vale has been linked to environmental and human rights violations after two of its dams collapsed.
Vale S.A.	Brazil	Principle 7: Businesses should support a precautionary approach to environmental challenges.	Q2 2019	Vale has been linked to environmental and human rights violations after two of its dams collapsed.
Wachovia Bank NA (Charlotte, North Carolina)	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
Wachovia Capital Trust II	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.

EntityName	Country	Global Compact Non-Compliant Principle Description	Non-Compliant Since	Reason for Non-Compliant Status
Wachovia Capital Trust III	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
Wachovia Corp.	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
Walchandnagar Industries Ltd.	India	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	Q3 2019	Walchandnagar Industries Limited supports the nuclear weapons programme of India, a state that is not a signatory to the Treaty on the Non-Proliferation of Nuclear Weapons.
Wells Fargo & Company	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
Wells Fargo Bank NA	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
Wells Fargo Bank, N.A. (Singapore)	Singapore	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
Wells Fargo Bank, NA (Sioux Falls, South Dakota)	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
Wells Fargo Canada Corp.	Canada	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
Wells Fargo Capital X	United States	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q1 2017	Wells Fargo has faced multiple probes by authorities as well as lawsuits as a result of its staff opening approximately 3.5 million customer accounts without customers' authorization; it also faces allegations of retaliation against whistleblowers.
Wirecard AG	Germany	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	Q4 2020	Wirecard is under investigation for alleged accounting irregularities and ethical misconduct that date back to at least 2015.
Zijin International Capital Co. Ltd.	Hong Kong	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2008	Zijin Mining Group's projects have faced community opposition and the company has been linked to the torture and sexual assault of those who oppose these projects.
Zijin Mining Group Company Limited	China	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	Q4 2008	Zijin Mining Group's projects have faced community opposition and the company has been linked to the torture and sexual assault of those who oppose these projects.